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gp 1743

4/30/02

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission **23**

Application Number **09/837,678**

Filing Date **04/18/2001**

First Named Inventor **OGLE et al.**

Group Art Unit **1743**

Examiner Name **Unknown**

Attorney Docket Number **75978/12278**

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement (Supplemental)
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Postcard to be time-stamped and returned.

Remarks

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name **Susan L. Mizer, Reg. No. 38,245  
Arter & Hadden LLP**

Signature **S. M. Mizer**

Date **12/12/01**

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **12-12-01**

Typed or printed name **Anna C. Kundel**

Signature **Anna C. Kundel**

Date **12-12-01**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (10-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

0

## Complete if Known

|                      |             |
|----------------------|-------------|
| Application Number   | 09/837,678  |
| Filing Date          | 04/18/2001  |
| First Named Inventor | OGLE et al. |
| Examiner Name        | Unknown     |
| Group Art Unit       | 1743        |
| Attorney Docket No.  | 75978/12278 |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0902(75978/12278)**Deposit Account Name **Arter & Hadden** Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 740                    | 201 370                    | Utility filing fee     |          |
| 106 330                    | 206 165                    | Design filing fee      |          |
| 107 510                    | 207 255                    | Plant filing fee       |          |
| 108 740                    | 208 370                    | Reissue filing fee     |          |
| 114 160                    | 214 80                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ 0)

## 2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
|              |                    |                    | -20** =      | 0 X            | = 0      |
|              |                    |                    | -3** =       | 0 X            | = 0      |
|              |                    |                    |              |                | = 0      |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 103 18                     | 203 9                      | Claims in excess of 20                                     |
| 102 84                     | 202 42                     | Independent claims in excess of 3                          |
| 104 280                    | 204 140                    | Multiple dependent claim, if not paid                      |
| 109 84                     | 209 42                     | ** Reissue independent claims over original patent         |
| 110 18                     | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0)

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

| Fee Code (\$)             | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------------|----------------------------|----------------------------|--|----------|
| 105 130                   | 205                        | 65                         | Surcharge - late filing fee or oath  |          |
| 127 50                    | 227                        | 25                         | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                   | 139                        | 130                        | Non-English specification  |          |
| 147 2,520                 | 147                        | 2,520                      | For filing a request for ex parte reexamination                            |          |
| 112 920*                  | 112                        | 920*                       | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                | 113                        | 1,840*                     | Requesting publication of SIR after Examiner action                        |          |
| 115 110                   | 215                        | 55                         | Extension for reply within first month                                     |          |
| 116 400                   | 216                        | 200                        | Extension for reply within second month                                    |          |
| 117 920                   | 217                        | 460                        | Extension for reply within third month                                     |          |
| 118 1,440                 | 218                        | 720                        | Extension for reply within fourth month                                    |          |
| 128 1,960                 | 228                        | 980                        | Extension for reply within fifth month                                     |          |
| 119 320                   | 219                        | 160                        | Notice of Appeal   |          |
| 120 320                   | 220                        | 160                        | Filing a brief in support of an appeal                                     |          |
| 121 280                   | 221                        | 140                        | Request for oral hearing   |          |
| 138 1,510                 | 138                        | 1,510                      | Petition to institute a public use proceeding                              |          |
| 140 110                   | 240                        | 55                         | Petition to revive - unavoidable   |          |
| 141 1,280                 | 241                        | 640                        | Petition to revive - unintentional   |          |
| 142 1,280                 | 242                        | 640                        | Utility issue fee (or reissue)   |          |
| 143 460                   | 243                        | 230                        | Design issue fee   |          |
| 144 620                   | 244                        | 310                        | Plant issue fee  |          |
| 122 130                   | 122                        | 130                        | Petitions to the Commissioner  |          |
| 123 50                    | 123                        | 50                         | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180                   | 126                        | 180                        | Submission of Information Disclosure Stmt                                  |          |
| 581 40                    | 581                        | 40                         | Recording each patent assignment per property (times number of properties) |          |
| 146 740                   | 246                        | 370                        | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740                   | 249                        | 370                        | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740                   | 279                        | 370                        | Request for Continued Examination (RCE)                                    |          |
| 169 900                   | 169                        | 900                        | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |                            |                            |  |          |

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)

| SUBMITTED BY      |                | Complete (if applicable)          |          |                          |
|-------------------|----------------|-----------------------------------|----------|--------------------------|
| Name (Print/Type) | Susan L. Mizer | Registration No. (Attorney/Agent) | 38,245   | Telephone (216) 696-3466 |
| Signature         |                | Date                              | 7/1/2001 |                          |

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